

QUESTIONNAIRE IN CONNECTION WITH THE HIGH NET WORTH INDIVIDUALS RULES

This Questionnaire has been prepared in connection with your High Net Worth Individuals Rules application. It is an important document and you should therefore answer all questions truthfully and without omissions. Any failure to disclose relevant and material information will result in your application being terminated.

Instructions

- (A) Please answer all questions and if a question is answerable in the negative, please answer "No". If a question is not applicable, please answer "N/A". Do not leave any section blank.
- (B) If insufficient space is provided for completion of any question, additional information may be entered on a separate sheet of paper duly signed and attached.
- (C) In this form, the term "company" includes any company or corporation wherever incorporated, and "director" means a person in accordance with whose directions or instructions the company's directors are or were accustomed to act.
- (D) Please attach a recent curriculum vitae.
- (E) Please also attach a certified/notarised copy of:
 - the photographic page of your passport (the original will need to be made available subsequently);
 - a copy of a full driving license or other official document bearing name and current address (a mobile phone bill is not sufficient);
 - two certified and recent (last three months) utility bills;
 - a statement of good standing from your principal personal bank.

N.B. Certified copies should include the following details with respect to the certifier: name; occupation; address; telephone number; length of time known to person and in what capacity.

Questions

1	(a)	Full Name		
	(b)	Other names / aliases / previous names		
	(c)	Date and place of birth		
	(d)	Nationality (and, if applicable, previous nationalities)		
	(e)	Principal residential address		
	(f)	Marital status		
	(g)	Key educational & professional qualifications		

2	Current Occupation.	
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3	Current Employer (and address).	
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4	Please provide details of your career background (where not covered in your curriculum vitae).	
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5	Please provide details of the economic activity that led to the creation of your wealth.	
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<p>6 Are you, or have you in the last 5 years been, a director, shadow director or occupied any managerial position of any other company or a partner in any partnership? If so, state:</p> <p>A) the name of any such company/partnership; B) the nature of the business; C) the date you became a director or partner; D) the company's/partnership's country of incorporation.</p>	
<p>7 Have you ever been suspended, dismissed or requested to resign from any office or employment, or removed from any fiduciary office or position of trust, whether or not remunerated.</p>	
<p>8 Has any company of which you have been a director been put into compulsory or voluntary liquidation, had a company voluntary arrangement or arrangement with its creditors generally, or an administrator or an administrative or other receiver appointed during the period when you were (or within the preceding twenty-four months after you had been) one of its directors or shadow directors?</p> <p>Has any partnership been put into compulsory or voluntary liquidation or been sequestrated during the period when you were (or within the preceding twenty-four months had been) one of its partners?</p> <p>If so, in each case state the name, nature of business, date of commencement of winding up, administration or receivership and the amount involved, together with an indication of the outcome or current position.</p>	

<p>9 Have you at any time, or has a company of which you were a director or shadow director at the time of the offence, been convicted of any offence involving fraud or dishonesty or an offence under legislation relating to companies (including insider dealing), building societies, industrial and provident societies, credit unions, friendly societies, insurance, banking or other financial services, securities, insolvency, consumer credit or consumer protection?</p> <p>If so, state the court by which you were or the company was convicted, the date of conviction and full particulars of the offence and the penalty imposed.</p> <p>Details must be disclosed of all convictions of the kind described above even where they may be "spent convictions".</p>	
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<p>10 Have you been concerned with the management or conduct or affairs of any company or partnership which has been:</p> <p>(a) investigated by an inspector appointed under companies legislation, or other securities enactments or by any other regulatory body; or</p> <p>in relation to any matter arising at a time during which you were concerned in that company or partnership?</p> <p>If the investigation is or was confidential, the question may be answered simply by stating "yes". In such a case we may seek additional information directly and in confidence from you.</p>	
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<p>11 Have you, in connection with the formation or management of any company, partnership or unincorporated institution been adjudged by a court civilly liable for any fraud, misfeasance or other misconduct by you towards it or towards any of its members? If so, give full particulars.</p>	
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<p>12 Have you ever been disqualified by a court from acting as a director of a company, or from acting in the management or conduct of the affairs of any company? If so, give full particulars.</p>	
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<p>13 Have you personally, or any business, company or firm of which you have been a director (or concerned with the management of the business, company or firm) been the subject of adverse media comment?</p>	
<p>14 Have you ever been publicly censured or disciplined in relation to your business or professional activities?</p>	
<p>15 Further to question 9, have you ever been accused of, or been convicted of any other offence (not including motoring convictions)?</p> <p>If so, state the court by which you were or the company was convicted, the date of conviction and full particulars of the offence and the penalty imposed.</p> <p>Details must be disclosed of all convictions of the kind described above even where they may be “spent convictions”.</p>	
<p>16 To the best of your knowledge, are you currently or have you ever been the subject of any investigation by law enforcement, security & intelligence, regulatory and tax authorities (excluding routine enquiries in the case of the latter).</p> <p>Furthermore, please state whether you are aware of any circumstances that may lead to an investigation.</p>	
<p>17 Have you at any time been adjudged bankrupt?</p> <p>If so, state the court by which you were adjudged bankrupt and, if discharged, the date and conditions on which you were granted your discharge.</p>	
<p>18 Have you at any time been a party to a deed of arrangement or any form of voluntary financial arrangement with creditors? If so, give full particulars.</p>	
<p>19 Are there any unsatisfied judgements outstanding against you? If so, give full particulars.</p>	
<p>20 Have you ever been refused admission to, or renewal of,</p>	

<p>membership of any professional body, trade society or investment exchange, or been censured or disciplined or had membership withdrawn by any such body to which you belong or belonged? If so, give full particulars.</p>	
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<p>21 In relation to the questions above, would any additional disclosure be required in relation to your spouse? If yes, please provide full details.</p>	
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<p>22 Is there any other information material to your application that is not disclosed above, the omission of which might affect any assessment of your propriety? If so, give full particulars.</p>	
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DECLARATION

- (a) I declare that the information supplied above is true, complete and not misleading in any way. If any of the information supplied changes or needs to be supplemented after the date of this Declaration (and prior to my application being approved), I undertake to inform the Inland Revenue Department immediately and to update my Declaration accordingly.
- (b) I authorise the Inland Revenue Department or any of its employees or authorised representatives to perform independent verification of the information that I have given, to make those enquiries about me as it may consider necessary and to disclose the contents of this declaration to those advisers it deems such disclosure necessary.

Signed.....

Name in Full

Dated.....